

根據《證券及期貨條例》(第571章)第309(2)條批給的豁免

以下載列就(一)發行在香港聯合交易所有限公司(聯交所)上市的證券的法團及(二)其他人士而批給的豁免。該等豁免就(一)批給該等法團、其大股東、董事及最高行政人員，及就(二)批給其他人士，使其無須就其於聯交所上市證券的權益所衍生的義務而遵從《證券及期貨條例》第XV部。本清單每月更新。

證監會網站內有關《證券及期貨條例》第XV部的網頁已登載證監會指引，列明根據該條例第309條批給豁免的依據。目前提供的豁免分為五類，而該指引已對該五類豁免加以述明。

第1類部份豁免：適用於股份已在香港聯合交易所有限公司及另一證券交易所上市的法團

名稱	聯交所 股份代號	
1 宏利金融有限公司	0945	
2 渣打集團有限公司	2888	(及 4305, 5348, 5890 及 5986)
3 英國保誠有限公司	2378	
4 迅銷有限公司 - 預託證券	6288	(該豁免僅適用於迅銷有限公司及其大股東)
5 阿里巴巴集團控股有限公司	9988	

第2類豁免：適用於並無股份在香港聯合交易所有限公司上市的法團

(a)第2類豁免：適用於結構性產品現時在香港聯合交易所有限公司上市的法團(並無股份在香港聯合交易所有限公司上市)

名稱	豁免期限 (注解1)	
	豁免開始日	豁免終止日
1 Bank Vontobel AG	2019年5月31日	2020年5月30日
2 BOCI Aisa Limited	2007年12月21日	2020年12月20日
3 BNP Paribas Issuance B.V.	2007年12月21日	2020年12月20日
4 Citigroup Global Markets Europe AG	2019年11月1日	2020年10月31日
5 Credit Suisse AG	2007年12月21日	2020年12月20日
6 Goldman Sachs Structured Products (Asia) Limited	2007年12月21日	2020年12月20日
7 Guotai Junan Securities (Hong Kong) Limited	2019年10月28日	2020年10月27日
8 Haitong International Securities Company Limited (注解2)	2017年3月16日	2021年3月15日
9 J.P. Morgan Structured Products B.V.	2007年12月21日	2020年12月20日
10 Macquarie Bank Limited	2008年1月1日	2020年12月31日
11 Morgan Stanley Asia Products Limited	2019年7月22日	2020年7月21日
12 SG Issuer	2016年4月1日	2021年3月31日
13 The Hongkong and Shanghai Banking Corporation Ltd	2007年12月23日	2020年12月22日
14 UBS AG	2007年12月21日	2020年12月20日

注解1: 授予以上法團的豁免期為12個月(或更少)，並豁免該法團在豁免期內所發行及在香港聯合交易所有限公司上市的結構性產品直至該結構性產品有效期完結為止。

注解2: 此前授予Haitong International Securities Company Limited的豁免已於2017年3月6日到期，但該豁免對其在2016年3月7日至2017年3月6日期間發行及在香港聯合交易所有限公司上市的結構性產品維持有效。

注解3: 授予Citigroup Global Markets Holdings Inc., Daiwa Capital Markets Hong Kong Limited, Nomura International plc, SGA Société Générale Acceptance N.V. 及 Standard Chartered Bank的豁免已分別於2015年6月14日、2016年7月19日、2015年12月9日、2016年12月20日及2016年6月27日到期。前述法團在相關豁免期內所發行的結構性產品均已到期。

(b)第2類豁免：適用於債務項目下發行的債務證券在香港聯合交易所有限公司上市的法團(並無股份在香港聯合交易所有限公司上市)

名稱	項目
1 AirAsia Global Notes Limited	US\$1,000,000,000 Multicurrency Medium Term Note Programme
2 Amipeace Ltd.	HK\$2,000,000,000 Medium Term Note Programme
3 AMTD Group Company Limited	U.S.\$500,000,000 Medium Term Note Programme
4 AMTD International Inc.	U.S.\$1,000,000,000 Medium Term Note Programme
5 AVIC International Leasing Co., Ltd.	US\$500,000,000 Medium Term Note Programme
6 AVIC International Leasing Co., Ltd.	U.S.\$500,000,000 Medium Term Note Programme
7 Azure Nova International Finance Limited	U.S.\$10,000,000,000 Medium Term Note Programme
8 Azure Orbit II International Finance Limited	US\$1,000,000,000 Medium Term Note Programme
9 Azure Orbit IV International Finance Limited	U.S.\$7,000,000,000 Medium Term Note Programme
10 Bank of China (Luxembourg) S.A.	US\$30,000,000,000 Medium Term Note Programme
11 Beijing Capital Polaris Investment Co. Ltd.	U.S.\$1,000,000,000 Medium Term Note Programme
12 Bocom Leasing Management Hong Kong Company Limited	US\$6,000,000,000 Medium Term Note Programme
13 Boom Up Investments Limited	US\$1,000,000,000 Medium Term Note Programme
14 CALC Bonds Limited	US\$3,000,000,000 Medium Term Note Programme
15 Castle Peak Power Finance Company Limited	U.S.\$2,000,000,000 Medium Term Note Programme
16 Cathay Pacific MTN Financing (HK) Limited	US\$2 billion Medium Term Note Programme
17 CCBL (Cayman) 1 Corporation Limited	US\$5,000,000,000 Guaranteed Medium Term Note Programme
18 CDBI Treasure I Limited	US\$500,000,000 Medium Term Note Programme
19 CDBL Funding 1	U.S.\$3,000,000,000 Medium Term Note Programme
20 CDBL Funding 1	US\$3,000,000,000 Medium Term Note Programme
21 CDBL Funding 2	US\$3,000,000,000 Medium Term Note Programme
22 Central Plaza Development Limited	US\$2,000,000,000 Guaranteed Medium Term Note Programme
23 CGNPC INTERNATIONAL LIMITED	US\$2,000,000,000 Guaranteed Medium Term Note Programme
24 Champion MTN Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
25 Charming Light Investments Ltd. and United Wealth Development Ltd.	US\$8,000,000,000 Medium Term Note Programme

26	Cheung Kong Finance (MTN) Limited and Joynote Ltd	US\$2,000,000,000 Medium Term Note Programme
27	Cheung Kong Infrastructure Finance (BVI) Limited	US\$2,000,000,000 Euro Medium Term Note Programme
28	China Cinda Finance (2015) I Limited and China Cinda Finance (2015) II Limited	US\$3,000,000,000 Guaranteed Medium Term Note Programme
29	China Cinda Finance (2017) I Limited and China Cinda Finance (2017) II Limited	US\$10,000,000,000 Medium Term Note Programme
30	China Construction Bank (Asia) Corporation Limited	US\$5,000,000,000 Medium Term Note Programme
31	China Development Bank Corporation and China Development Bank Corporation Hong Kong Branch	US\$30,000,000,000 Debt Issuance Programme
32	China Great Wall International Holdings III Limited	U.S.\$6,500,000,000 Medium Term Note Programme
33	China Overseas Finance (Cayman) VIII Limited	US\$2.5 billion medium note programme
34	CICC Hong Kong Finance 2016 MTN Limited	US\$3,000,000,000 Guaranteed Medium Term Note Programme
35	CITIC Securities Finance MTN Co., Ltd.	US\$3 billion Medium Term Note Programme
36	CK Property Finance (MTN) Limited	US\$5,000,000,000 Euro Medium Term Note Programme
37	CMB International Leasing Management Limited	US\$20,000,000,000 Medium Term Note Programme
38	CMB Wing Lung Bank Limited	U.S. \$2,000,000,000 Euro Medium Term Note Programme
39	CMBLEMTN1 Limited	U.S.\$5,000,000,000 Medium Term Note Programme
40	CNPC General Capital Limited	US\$7,000,000,000 Euro Medium Term Note Programme
41	Connery Capital Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
42	COSL Singapore Capital Ltd.	US\$3,500,000,000 Euro Medium Term Note Programme
43	DianJian Haiyu Limited, Dianjian International Finance Limited, Leader Goal International Limited, SEPCO Virgin Limited	U.S.\$2,500,000,000 Medium Term Note and Senior Perpetual Securities Programme
44	Eastern Creation II Investment Holdings Ltd.	US\$6,000,000,000 Guaranteed Euro Medium Term Note Programme
45	Everbright SHK (BVI) Limited	US\$1,000,000,000 Medium Term Note Programme
46	FEC Finance Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
47	Franshion Brilliant Limited	US\$2,000,000,000 Medium Term Note Programme
48	Future Days Limited	US\$5,000,000,000 Medium Term Note Programme
49	GLP China Holdings Limited	HK\$20,000,000,000 Medium Term Note Programme
50	Goodman HK Finance	US\$2,000,000,000 Medium Term Note Programme
51	Greenland Global Investment Limited	US\$8,000,000,000 Guaranteed Medium Term Notes Programme
52	Guangzhou Metro Investment Finance (BVI) Ltd.	US\$3,000,000,000 Guaranteed Medium Term Note Programme
53	Henderson Land MTN Limited	US\$5,000,000,000 Guaranteed Medium Term Note Programme
54	HKCG (Finance) Limited	US\$3,000,000,000 Medium Term Note Programme
55	HLP Finance Limited	US\$4 billion Medium Term Note Programme
56	Hong Kong Science and Technology Parks Corporation	HK\$1,707,000,000 Guaranteed Medium Term Note Programme
57	Horse Gallop Finance Limited	US\$2,000,000,000 Guaranteed Medium Term Note Programme
58	Horsepower Finance Limited	US \$1,200,000,000 Medium Term Note Programme
59	Huarong Finance 2017 Co., Ltd.	U.S.\$14,500,000,000 Medium Term Note Programme
60	Huarong Finance 2019 Co., Ltd.	US\$2,900,000,000 Medium Term Note Programme
61	Huarong Finance II Co., Ltd	US\$11,000,000,000 Medium Term Note Programme
62	Hysan (MTN) Limited	US\$2,500,000,000 Medium Term Note Programme
63	ICBCIL Finance Co. Limited	US\$20,000,000,000 Medium Term Note Programme
64	Industrial and Commercial Bank of Asia (China) Limited	U.S.\$6,000,000,000 Medium Term Note Programme
65	Industrial Bank Co., Ltd.	U.S.\$5,000,000,000 Medium Term Note Programme
66	Inventive Global Investments Limited	U.S.\$3,500,000,000 Medium Term Note Programme
67	Joyous Glory Group Limited	US\$1,000,000,000 Medium Term Note Programme
68	K.Wah International Financial Services Limited	US\$1,000,000,000 Medium Term Note Programme
69	Kunming Traffic Investment Co., Ltd.	US\$2,000,000,000 Medium Term Note Programme
70	Midea Investment Development Company Limited	US\$3,000,000,000 Medium Term Note Programme
71	Modern Terminals Capital Limited	US\$1 billion Medium Term Note Programme
72	MTR Corporation (C.I.) Limited	US\$4 billion Medium Term Note Programme
73	New World China Land Limited	US\$2,000,000,000 Medium Term Note Programme
74	NWD (MTN) Limited	US\$6,000,000,000 Medium Term Note Programme
75	NWS (MTN) Limited	US\$1,000,000,000 Medium Term Note Programme
76	PETRONAS Capital Limited	US\$15,000,000,000 Medium Term Note Programme
77	Power Assets Finance Limited	U.S.\$2,000,000,000 Medium Term Note Programme
78	Proven Glory Capital Limited	US\$5,000,000,000 Medium Term Note Programme
79	R-REIT International Finance Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
80	RH International Finance Limited	US\$1,000,000,000 Medium Term Note Programme
81	Rongshi International Finance Limited	US\$3,000,000,000 Medium Term Note Programme
82	Shanghai Pudong Development Bank Co., Ltd., Hong Kong Branch	US\$5,000,000,000 Euro Medium Term Note Programme
83	Sichuan Development Holding Co., Ltd. and Yieldking Investment Limited	US\$2,000,000,000 Medium Term Note Programme
84	Silverbell Asia Limited	US\$1,000,000,000 Medium Term Note Programme
85	Sino (MTN) Limited	US\$1,000,000,000 Medium Term Note Programme
86	Sinochem Offshore Capital Company Limited	US\$5,000,000,000 Global Medium Term Note Programme
87	Soar Great Limited	US\$1,500,000,000 Guaranteed Medium Term Note Programme
88	Soar Wise Limited	US\$1,000,000,000 Guaranteed Medium Term Note Programme
89	Splendid International Investment Limited	U.S.\$1,500,000,000 Medium Term Note Programme
90	Standard Chartered Bank (Hong Kong) Limited and Standard Chartered Bank	US\$50,000,000,000 Debt Issuance Programme
91	State Elite Global Limited	US\$2,700,000,000 Medium Term Note Programme
92	State Grid Overseas Investment (2016) Limited	US\$10,000,000,000 Medium Term Note Programme
93	Sun Hung Kai Properties (Capital Market) Limited	US\$7,000,000,000 Medium Term Note Programme
94	Sunlight REIT MTN Limited	US\$1,000,000,000 Medium Term Note Programme
95	Swire Pacific MTN Financing (HK) Limited	US\$5,000,000,000 Medium Term Note Programme
96	Swire Pacific MTN Financing Limited	US\$5,000,000,000 Medium Term Note Programme
97	Swire Properties MTN Financing Limited	US\$3,000,000,000 Medium Term Note Programme
98	The Hongkong and Shanghai Banking Corporation Limited	US\$20,000,000,000 Medium Term Note Programme
99	The Hong Kong Mortgage Corporation Limited	US\$6,000,000,000 Medium Term Note Programme
100	The Link Finance (Cayman) 2009 Limited	US\$5,000,000,000 Guaranteed Euro Medium Term Note Programme
101	Unican Limited	US\$1,000,000,000 Guaranteed Euro Medium Term Note Programme
102	Urban Renewal Authority	US\$1 billion Medium Term Note Programme
103	Vanke Real Estate (Hong Kong) Company Limited	US\$9,500,000,000 Medium Term Note Programme

104	Westwood Group Holdings Limited	US\$3,000,000,000 Medium Term Note Programme
105	Wharf Finance (BVI) Limited, Wharf Finance (BVI) No.1 Limited, Wharf Finance (No.1) Limited and Wharf Finance Limited	US\$7 billion Medium Term Note Programme
106	Wharf REIC Finance (BVI) Limited	U.S.\$3,000,000,000 Medium Term Note Programme
107	Wheelock Finance (BVI) Limited, Wheelock MTN (BVI) Limited	US\$5 billion Medium Term Note Programme
108	Wuhan Metro Group Co., Ltd.	U.S.\$1,000,000,000 Medium Term Note Programme
109	Yuexiu REIT MTN Company Limited	US\$1,500,000,000 Medium Term Note Programme
110	Zhejiang Energy International Limited	USD2,000,000,000 Medium Term Note Programme

(c)第2類豁免：適用於就某一特定發行的債務證券現時在香港聯合交易所有限公司上市的法團（並無股份在香港聯合交易所有限公司上市）

名稱	聯交所 股份代號	
1	ABCL Glory Capital Limited	5677
2	Agricultural Development Bank of China	5180
3	Agricultural Development Bank of China	5455
4	Agricultural Development Bank of China	85737
5	Agricultural Development Bank of China	85744
6	Agricultural Development Bank of China	85901
7	Agricultural Development Bank of China	85909
8	Agricultural Development Bank of China	85910
9	Alibaba Group Holding Limited	5843
10	Alibaba Group Holding Limited	5844
11	Alibaba Group Holding Limited	5845
12	Amber Circle Funding Limited	4586
13	Amber Treasure Ventures Limited	5209
14	Amber Treasure Ventures Limited	5243
15	Anhui Provincial Investment Group Holding Co., Ltd.	5186
16	Anhui Transportation Holding Group (H.K.) Limited	5158
17	Avenue International Holding Limited	40037
18	Avenue International Holding Limited	40160
19	AVIC International Finance & Investment Limited	4497
20	AVIC International Finance & Investment Limited	5966
21	Axiata SPV1 (Labuan) Limited	4320
22	Azure Orbit III International Finance Limited	5620
23	Azure Orbit III International Finance Limited	5621
24	Azure Orbit International Finance Limited	5909
25	Bank of Communications (Hong Kong) Limited	40176
26	Baoji Investment (Group) Co., Ltd.	5492
27	BCEG (HongKong) Company Limited	5145
28	Beijing Enterprises Water Capital Management Limited	4480
29	Beijing Gas Singapore Capital Corporation	5213
30	Beijing State-Owned Assets Management (Hong Kong) Company Limited	5520
31	Beijing State-Owned Assets Management (Hong Kong) Company Limited	5521
32	Bengbu Gaoxin Investment Group Co., Ltd.	40085
33	Bengbu Gaoxin Investment Group Co., Ltd.	40131
34	Bi Hai Co., Ltd.	5185
35	Blue Skyview Company Limited	5364
36	Bluestar Finance Holdings Limited	4550
37	Bluestar Finance Holdings Limited	4556
38	Bluestar Finance Holdings Limited	5089
39	Bluestar Finance Holdings Limited	5599
40	Bluestar Finance Holdings Limited	4487
41	BNY Trust Company of Australia Limited	5766
42	BNY Trust Company of Australia Limited	40142
43	Boom Up Investments Limited	5544
44	Boxinyuan International Co., Ltd.	40036
45	BPHL Capital Management Limited	40171
46	Bright Galaxy International Limited	5927
47	Bright Scholar Education Holdings Limited	5615
48	Cable and Wireless International Finance B.V.	1077
49	Cable and Wireless International Finance B.V.	1078
50	Caiyun International Investment Limited	5947
51	CALC Bond 2 Limited	4300
52	CALC Bond 3 Limited	5390
53	CALC Bond 3 Limited	5391
54	CCB Life Insurance Company Limited	5437
55	CCBL (Cayman) Corporation Limited	5548
56	CCCI Treasure Limited	5893
57	CCCI Treasure Limited	40157
58	CCCI Treasure Limited	40158
59	CCTI 2017 Ltd	5258
60	CDBL Funding 1	5850
61	Celestial Dynasty Limited	5594
62	CELESTIAL MILES LIMITED	5706
63	Central Plaza Development Ltd.	5919
64	CGNPC International Limited	5058
65	CGNPC International Limited	5059
66	CGNPC International Limited	5153
67	CGNPC International Limited	5154
68	CGNPC International Limited	5519
69	Chalco Hong Kong Investment Company Limited	5151
70	Chalco Hong Kong Investment Company Limited	5920
71	Chalco Hong Kong Investment Company Limited	5727
72	Chalco Hong Kong Investment Company Limited	5985
73	Chalieco Hong Kong Corporation Limited	5321

74	Chalieco Hong Kong Corporation Limited	5702
75	Chalieco Hong Kong Corporation Limited	40070
76	Chang Development International Limited	5090
77	Chang Development International Limited	5744
78	Changde Economic Construction Investment Group Co., Ltd.	5642
79	Changde Urban Construction and Investment Group Co., Ltd.	5509
80	Changde Urban Construction and Investment Group Co., Ltd.	5959
81	Changsha Pilot Investment Holdings Co., Ltd.	40064
82	Charter Style International Limited	85993
83	Chengdu Airport Xingcheng Investment Group Co., Ltd.	5201
84	Chengdu Communications Investment Group Corporation Limited	5062
85	Chengdu Communications Investment Group Corporation Limited	5493
86	Chengdu Economic and Technological Development Zone Construction and Development Co., Ltd.	5714
87	Chengdu Economic and Technological Development Zone State-Owned Assets Investment Co., Ltd.	5751
88	Chengdu Hi-tech Investment Group Co., Ltd.	5206
89	Chengdu Jiaozhi Financial Holding Group Co., Ltd.	40061
90	Chengdu Tianfu New Area Investment Group Co., Ltd.	6011
91	Chengdu Xingcheng Investment Group Co., Ltd.	5314
92	Cheung Kong Bond Securities (02) Limited	4559
93	Cheung Kong Bond Securities (03) Limited	4595
94	Cheung Kong Infrastructure Finance (BVI) Limited	5060
95	China Bright (Hong Kong) Limited	5937
96	China Cinda Finance (2014) Limited	5748
97	China CITIC Bank International Limited	5199
98	China CITIC Bank International Limited	5728
99	China CITIC Bank International Limited	5831
100	China CITIC Bank International Limited	5992
101	China CITIC Bank International Limited	4594
102	China Clean Energy Development Limited	5568
103	China Development Bank Corporation	85904
104	China Development Bank Corporation	85905
105	China Development Bank Corporation	85934
106	China Energy Reserve and Chemicals Group Holding Limited	5639
107	China Energy Reserve and Chemicals Group Overseas Company Limited	5503
108	China Great Wall International Holdings IV Limited	5617
109	China Great Wall International Holdings IV Limited	5620
110	China Huadian Overseas Development 2018 Limited	4491
111	China Huadian Overseas Development Management Company Limited	4533
112	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40093
113	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40094
114	China Huaneng Group (Hong Kong) Treasury Management Holding Limited	40095
115	China Life Insurance (Overseas) Co., Ltd	5250
116	China Merchants Finance Company Limited	4548
117	China Merchants Finance Company Limited	5554
118	China Merchants Finance Company Limited	5555
119	China Minmetals Corporation	4442
120	China Minmetals Corporation	5009
121	China Overseas Finance (Cayman) II Limited	4503
122	China Overseas Finance (Cayman) III Limited	5988
123	China Overseas Finance (Cayman) III Limited	5989
124	China Overseas Finance (Cayman) V Limited	4579
125	China Overseas Finance (Cayman) V Limited	4580
126	China Overseas Finance (Cayman) VI Limited	5746
127	China Overseas Finance (Cayman) VI Limited	5760
128	China Overseas Finance (Cayman) VII Limited	4475
129	China Overseas Finance (Cayman) VII Limited	4476
130	China Overseas Finance Investment (Cayman) V Limited	5600
131	China Overseas Grand Oceans Finance IV (Cayman) Limited	5106
132	China Railway Resources Huitung Limited	5904
133	China Railway XunJie Co., Limited	5244
134	China Railway XunJie Co., Limited	5692
135	China Resources Power East Foundation Co., Ltd.	4516
136	China Shenhua Overseas Capital Company Limited	5863
137	China Southern Power Grid International Finance (BVI 2018) Co., Limited	5166
138	China Southern Power Grid International Finance (BVI 2018) Co., Limited	5167
139	China Southern Power Grid International Finance (BVI) Co., Limited	4583
140	China Southern Power Grid International Finance (BVI) Co., Limited	4598
141	China State Construction Finance (Cayman) I Limited	5462
142	China State Construction Finance (Cayman) II Limited	5037
143	China State Construction Finance (Cayman) II Limited	5038
144	China State Construction Finance (Cayman) III Limited	40083
145	China Taiping Capital Limited	4582
146	China Taiping New Horizon Limited	5984
147	China Yangtze Power International (BVI) 1 Limited	5300
148	China Yangtze Power International (BVI) 2 Limited	5301
149	Chinalco Capital Holdings Limited	4301
150	Chinalco Capital Holdings Limited	5438
151	Chinalco Capital Holdings Limited	5745
152	Chiyu Banking Corporation Limited	5034
153	Chongqing Casin Group Co., Ltd	5595
154	Chongqing Energy Investment Group Co., Ltd.	5898
155	Chongqing Hechuan City Construction Investment (Group) Co., Ltd.	5088
156	Chongqing Nan'an Urban Construction & Development (Group) Co., Ltd.	4562
157	Chongqing Nan'an Urban Construction & Development (Group) Co., Ltd.	5488
158	Chongqing Nan'an Urban Construction & Development (Group) Co., Ltd.	5699
159	Chongqing Wansheng Economic and Technological Development Zone Development & Investment Group Co., Ltd.	5157
160	Chouzhou International Investment Limited	4542
161	Chouzhou International Investment Limited	5056

162	Chouzhou International Investment Limited	40154
163	CITIC Telecom International Finance Limited	5908
164	City Development Company of Lan Zhou	40066
165	CLP Power HK Finance Ltd	40044
166	CLP Power HK Finance Ltd.	5740
167	CLP Power Hong Kong Financing Limited	4306
168	CLP Power Hong Kong Financing Limited	4318
169	CMHI Finance (BVI) Co., Ltd	5134
170	CMHI Finance (BVI) Co., Ltd	5135
171	CMOC Capital Limited	5722
172	CNI Capital Limited	85908
173	CNOOC Curtis Funding No. 1 Pty Ltd	5972
174	CNOOC Curtis Funding No. 1 Pty Ltd	5973
175	CNOOC Finance (2003) Limited	2568
176	CNOOC Finance (2011) Limited	4506
177	CNOOC Finance (2011) Limited	4507
178	CNOOC Finance (2012) Limited	4546
179	CNOOC Finance (2012) Limited	4547
180	CNOOC Finance (2013) Limited	5941
181	CNOOC Finance (2013) Limited	5942
182	CNOOC Finance (2013) Limited	40016
183	CNOOC Finance (2015) Australia Pty Ltd	5506
184	CNOOC Finance (2015) Australia Pty Ltd	5507
185	CNOOC Finance (2015) U.S.A. LLC	4478
186	CNOOC Finance (2015) U.S.A. LLC	4479
187	CNOOC Finance (2015) U.S.A. LLC	5508
188	CNOOC Nexen Finance (2014) ULC	5738
189	CNOOC Nexen Finance (2014) ULC	5739
190	CNPC (HK) Overseas Capital Ltd.	4513
191	CNPC (HK) Overseas Capital Ltd.	4514
192	CNPC General Capital Limited	4544
193	CNPC General Capital Limited	5923
194	COSCO Pacific Finance (2013) Company Limited	5900
195	COSL Finance (BVI) Limited	4563
196	Cosmos Boom Investment Limited	5534
197	CRCC Chengan Limited	5581
198	CRCC Yupeng Limited	5778
199	CRCC Yuxiang Limited	5945
200	CSCEC Finance (Cayman) I Limited	5574
201	CSCEC Finance (Cayman) II Limited	5232
202	CSCEC Finance (Cayman) II Limited	5233
203	CSCEC Finance (Cayman) II Limited	5669
204	CSCI Finance (2015) Co. Ltd	5564
205	CSSC Capital 2015 Limited	40145
206	CSSC Capital 2015 Limited	40146
207	CSSC Capital One Limited	5181
208	CSSC Capital Two Limited	5182
209	Dah Sing Bank, Limited	5316
210	Dah Sing Bank, Limited	5524
211	Dah Sing Bank, Limited	6021
212	Dalian Deta Holding Co., Ltd.	5608
213	Dangdai International Investments Ltd	5027
214	Dangdai International Investments Ltd	40121
215	Dawn Victor Limited	5409
216	Dianjian Haixing Limited	5816
217	Dongxing Voyage Company Limited	5643
218	DYNAMIC MISSION INVESTMENTS LIMITED	5386
219	Ease Trade Global Limited	4458
220	Eastern Air Overseas (Hong Kong) Corporation Limited	5022
221	Elect Global Investments Limited	40173
222	ENN CLEAN ENERGY INTERNATIONAL INVESTMENT LIMITED	5814
223	Excel Capital Global Limited	4496
224	Expand Lead Limited	5165
225	First FZ Bond Limited	5275
226	FPC Capital Limited	5107
227	FPC Treasury Limited	5924
228	Franshion Brilliant Limited	5030
229	Franshion Brilliant Limited	5229
230	Franshion Brilliant Limited	5289
231	Franshion Brilliant Limited	5347
232	Franshion Brilliant Limited	5385
233	Franshion Brilliant Limited	5447
234	Franshion Brilliant Limited	5472
235	Fujian Zhanglong Group Co., Ltd.	5700
236	Full Dragon (Hong Kong) International Development Limited	4428
237	FWD Group Limited	4403
238	FWD Group Limited	5019
239	FWD Group Limited	5221
240	FWD Group Limited	5793
241	FWD Limited	4416
242	FWD Limited	5351
243	FWD Limited	5803
244	Gansu Province Electric Power Investment Group Co., Ltd.	5466
245	Gansu Provincial Highway Aviation Tourism Investment Group Co., Ltd.	5057
246	Gansu Provincial Highway Aviation Tourism Investment Group Co., Ltd.	5132
247	Gansu Provincial Highway Aviation Tourism Investment Group Co., Ltd.	5999
248	Gaoxin International Investment Co., Ltd	40081
249	Gemdale Ever Prosperity Investment Limited	4578
250	GET International Investment Holdings Limited	5381

251	GOHL Capital Limited	5352
252	GRAM International Limited	5587
253	Granda Century Limited	5152
254	Green Fortune Capital Limited	40010
255	Greenland Global Investment Limited	5769
256	Guang Ying Investment Limited	4492
257	Guangsha Holding Group Co., Ltd.	5456
258	Guangxi Communications Investment Group Corporation. Ltd	5880
259	Guangxi Financial Investment Group Co., Ltd.	5098
260	Guangxi Liuzhou Dongcheng Investment Development Group Co., Ltd.	40013
261	GUANGZHOU Silk Road Investment Limited	5323
262	Guofa Overseas Investment Company Limited	40098
263	Guohui International (BVI) Co., Ltd.	6002
264	Guotai Junan Holdings Limited	5853
265	Haichuan International Investment Co., Ltd.	5460
266	Haiguo Xintai Capital Limited	40011
267	Haimen Zhongnan Investment Development (International) Co., Ltd.	4585
268	Haitian (BVI) International Investment Development Limited	5061
269	Haitong International Finance 2015 Limited	5867
270	Haitong International Finance Holdings 2015 Limited	5892
271	Hangzhou Fuyang Chengtuo Group (HK) Limited	5469
272	Hanhui International Limited	5657
273	Hanrui Overseas Investment Co Ltd	5081
274	Harvest International Company	5024
275	HBIS Group Hong Kong Co., Limited	40108
276	Hesteel Hong Kong Co., Limited	5417
277	HK Onlink Technology Co., Limited	40168
278	Hong Kong JY Flower Limited	40163
279	Hong Kong Red Star Macalline Universal Home Furnishings Limited	5278
280	Hong Kong Sukuk 2015 Limited	4226
281	Hong Kong Sukuk 2017 Limited	4233
282	Hong Seng Limited	40172
283	Hongkong Baorong Development Limited	5465
284	Hongkong Electric Finance Limited	4505
285	Hongkong Ideal Investment Limited	40019
286	Hongkong International (Qingdao) Company Limited	5023
287	Hongkong International (Qingdao) Company Limited	5048
288	Hongkong International (Qingdao) Company Limited	5875
289	Hongkong International (Qingdao) Company Limited	40017
290	Hongkong International (Qingdao) Company Limited	40053
291	HongKong Xiangyu Investment Co., Limited	4409
292	Huafa 2019 I Company Limited	5976
293	Huafa Group 2018 I Company Limited	5150
294	Huai'an Traffic Holding Co., Ltd.	6006
295	Huai'an Water Conservancy Holding Group Co., Ltd.	6009
296	Huaibei City Construction Investment Holding Group Company Limited	5474
297	Huaibei City Construction Investment Holding Group Company Limited	5946
298	Huaneng Hong Kong Capital Limited	5000
299	Huantaihu International investment Co., Ltd	5413
300	Huasing International Holdings Co., Limited	4448
301	Huasing International Holdings Co., Limited	4449
302	Huaxin Pharmaceutical (Hong Kong) Co., Ltd.	4447
303	Huayi Finance I Ltd.	40042
304	HUAYUAN PROPERTY CO., LTD	5922
305	Huayuan Property Co., Ltd.	5239
306	Hubei Science & Technology Investment Group (Hong Kong) Company Limited	4431
307	Hunan Xiangjiang New Area Development Group Co Ltd	5223
308	Huzhou City Investment Development Group Co., Ltd.	5074
309	Huzhou Communications Investment Group Co., Ltd.	85740
310	ICBCIL Finance Co. Limited	5884
311	IFC Development (Corporate Treasury) Limited	5715
312	IFC Development (Corporate Treasury) Limited	5756
313	IFC Development (Corporate Treasury) Limited	5757
314	IFC Development (Corporate Treasury) Limited	6000
315	Industrial and Commercial Bank of China (Asia) Limited	5686
316	Industrial and Commercial Bank of China (Asia) Limited	5976
317	Industrial and Commercial Bank of China (Macau) Limited	5750
318	Industrial and Commercial Bank of China (Macau) Limited	5797
319	Intellect Aim Management Ltd.	6003
320	Jiangsu Zhongguancun Holding Group (International) Co Ltd	40038
321	Jiangxi Provincial Water Conservancy Investment Group (China) Limited	40086
322	Jianqxi Railway Investment Group Corporation	5770
323	JIC Zhixin Limited	5031
324	JIC Zhixin Limited	5032
325	Jinan Hi-tech Holding Group Co., Ltd.	5481
326	Jinan Hi-tech Holding Group Co., Ltd.	40018
327	Jinan West City Investment and Development Group Co., Ltd.	4588
328	Jinke Property Group Co., Ltd.	5292
329	Jinshine International Co., Ltd.	4451
330	Jisco SR Pearl Ltd.	5953
331	Jiuding Group Finance Co., Ltd	5246
332	Joy Treasure Assets Holdings Inc.	5901
333	Joy Treasure Assets Holdings Inc.	5903
334	Joy Treasure Assets Holdings Inc.	6027
335	Joy Treasure Assets Holdings Inc.	40000
336	Keen Idea Global Limited	5393
337	King Power Capital Ltd.	5823
338	King Talent Management Limited	5026
339	Korean Reinsurance Company	5815
340	Kunming Dianchi Investment Co. Ltd.	5569

341	Kunming Municipal Urban Construction Investment & Development Co., Ltd.	40026
342	Kunming Rail Transit Group Co., Ltd.	40100
343	Kunming Rail Transit Group Co., Ltd.	40101
344	Kunzhi Limited	4464
345	Kunzhi Limited	5100
346	Lai Fung Bonds (2018) Limited	5087
347	Legend Fortune Limited	5128
348	Liang Shan International Development Limited	5585
349	Lingang Wings Inc	5149
350	LinJiang Investment and Development (BVI) Co., Ltd	40087
351	Liuzhou Dongtong Investment & Development Co., Ltd.	4329
352	LS Finance (2017) Limited	4471
353	LS Finance (2022) Limited	4571
354	LS Finance (2025) Limited	5535
355	LSD Bonds (2017) Limited	5270
356	Luso International Banking Limited	5078
357	Malaysia Sovereign Sukuk Berhad	5894
358	Malaysia Sovereign Sukuk Berhad	5895
359	Malaysia Sukuk Global Berhad	5633
360	Malaysia Sukuk Global Berhad	5634
361	MCC Holding (Hong Kong) Corporation Limited	4483
362	MCC Holding (Hong Kong) Corporation Limited	5210
363	Mei Nian Investment Limited	5932
364	Meilun (BVI) Limited	5625
365	Mianyang Investment Holding (Group) Co., Ltd.	40039
366	MINMETALS BOUNTEOUS FINANCE (BVI) LIMITED	5552
367	MINMETALS BOUNTEOUS FINANCE (BVI) LIMITED	5553
368	MINMETALS BOUNTEOUS FINANCE (BVI) LIMITED	5688
369	MINMETALS BOUNTEOUS FINANCE (BVI) LIMITED	5689
370	Minmetals Bounteous Finance (BVI) Limited	40175
371	Minmetals Land Capital Limited	5933
372	Mudanjiang City Investment Group Co., Ltd.	5297
373	Nanjing Yangzi State-owned Assets Investment Group Co., Ltd	5053
374	Nanjing Yangzi State-owned Assets Investment Group Co., Ltd	5054
375	Nanyang Commercial Bank, Limited	5214
376	Nanyang Commercial Bank, Limited	40069
377	New Lion Bridge Company Limited	5290
378	New Momentum International Limited	5997
379	Nimble City Limited	5144
380	Nuoxi Capital Limited	4401
381	Nuoxi Capital Limited	4402
382	Nuoxi Capital Limited	5365
383	Nuoxi Capital Limited	5436
384	Nuoxi Capital Limited	5484
385	Nuoxi Capital Limited	5704
386	Nuoxi Capital Limited	5996
387	NWD Finance (BVI) Limited	4561
388	NWD Finance (BVI) Limited	5856
389	Oceanwide Holdings International 2015 Co., Limited	5557
390	Oceanwide Holdings International 2017 Co., Limited	5251
391	Oceanwide Holdings International Development III Co., Ltd.	4532
392	Oceanwide Holdings International Development III Co., Ltd.	5196
393	Orient Huizhi Limited	5041
394	Oriental Capital Company Limited	40025
395	OVPH Limited	5614
396	PB Issuer (No.4) Limited	5525
397	PB Issuer (No.5) Limited	40097
398	PCGI Intermediate Holdings (II) Limited	40074
399	PCGI Intermediate Limited	40040
400	PCGI Intermediate Limited	40040
401	Perfect Point Ventures Limited	4566
402	Petron Corporation	5905
403	PETRONAS Global Sukuk Ltd.	5882
404	PHBS Limited	4325
405	Phoenix Charm International Investment Limited	40058
406	Phoenix Charm International Investment Limited	40071
407	Phoenix Lead Limited	5262
408	Pingdingshan Tianan Coal Mining Co., Ltd.	6004
409	Pingxiang Urban Construction Investment Developing Company	4535
410	Pioneer Reward Limited	4530
411	Pioneer Reward Limited	40143
412	Poly Real Estate Finance Ltd	4417
413	Poly Real Estate Finance Ltd	5164
414	Poly Real Estate Finance Ltd	5916
415	Power Best Global Investments Limited	5940
416	Powerchina Real Estate Group Ltd.	5471
417	Press Metal (Labuan) Ltd.	5299
418	Prosperous Ray Limited	5994
419	Proven Honour Capital Limited	5516
420	Proven Honour Capital Limited	5641
421	Pterosaur Capital Co., Limited	6021
422	PTT Public Company Limited	2527
423	Qingdao Jiaozhou Bay Development Co., Ltd.	5117
424	Qingdao Jiaozhou Bay Development Group Co., Ltd.	5951
425	Qingdao Jimo District Urban Development Investment Co., Ltd.	5658
426	Qinghai Provincial Investment Group Co., Ltd.	4450
427	Radiant Access Limited	5205
428	Rail Transit International Investment Company Limited	5644
429	RKI Overseas Finance 2016 (B) Limited	4309

430	Rongshi International Finance Limited	5448
431	Rongshi International Finance Limited	5449
432	Rosy Delta Investments Limited	5097
433	Rugao Economic and Trade Development Company	40041
434	Rugao Economic and Trade Development Company	40133
435	SCIG International Financial Limited	5174
436	SDG FINANCE I LIMITED	4539
437	SDG FINANCE I LIMITED	5192
438	SDSC Global Finance Limited	5303
439	SDSC International Development Limited	40022
440	SF Holding Investment Limited	5130
441	SF Holding Investment Limited	40152
442	Shaanxi Xixian New Area Fengxi New City Development and Construction (Group) Co., Ltd.	40073
443	Shaanxi Xixian New Area Fengxi New City Development and Construction (Group) Co., Ltd.	5504
444	Shaanxi Xixian New Area Qinhan New City Development and Construction Group Co., Ltd.	5935
445	Shandong Commercial Group Co., Ltd.	5512
446	Shandong Energy Australia Pty Ltd	5248
447	Shandong Iron and Steel Xinheng International Company Limited	4453
448	Shandong Iron and Steel Xinheng International Company Limited	5063
449	Shandong Iron and Steel Xinheng International Company Limited	5470
450	Shandong Iron and Steel Xinheng International Company Limited	5601
451	Shandong Iron and Steel Xinheng International Company Limited	40003
452	Shanghai Commercial Bank Limited	5043
453	Shanghai Commercial Bank Limited	5530
454	Shanghai Electric Power Finance Limited	5558
455	Shanghai Port Group (BVI) Development Co., Limited	4587
456	Shanghai Port Group (BVI) Development Co., Limited	5734
457	Shanghai Port Group (BVI) Development Co., Limited	5741
458	Shanghai Port Group (BVI) Holding Co., Limited	5255
459	Shanghai Port Group (BVI) Holding Co., Limited	5256
460	Shangrao Investment Holdings International Company Limited	4429
461	Shangrao Investment Holdings International Company Limited	40122
462	Shanghai (Hong Kong) International Investments Limited	5435
463	Shanghai (Hong Kong) International Investments Limited	40009
464	Shaoxing City Investment Group Limited	5489
465	Shaoxing Shangyu State-owned Capital Investment and Operation Co., Ltd.	40082
466	Shengzhou Investment Holdings Co., Ltd.	40119
467	Shuifa International Holdings (BVI) Co., Ltd.	5992
468	Si Fa Investment Limited	5490
469	Sichuan Communications Overseas Development Co., Ltd.	5399
470	Sichuan Railway Investment Group Co., Ltd.	5575
471	SIHC International Capital Ltd	5176
472	SIHC International Capital Ltd	5177
473	Sino Trendy Investment Limited	4407
474	Sinochem Global Capital Co., Ltd.	5935
475	Sinochem Overseas Capital Company Limited	4501
476	Sinochem Overseas Capital Company Limited	4502
477	Sino-Ocean Land Treasure Finance I Limited	5782
478	Sino-Ocean Land Treasure Finance II Limited	5869
479	Sino-Ocean Land Treasure III Limited	5276
480	Sino-Ocean Land Treasure IV Limited	5131
481	Sino-Ocean Land Treasure IV Limited	5623
482	Sino-Ocean Land Treasure IV Limited	5712
483	Sino-Ocean Land Treasure IV Limited	40115
484	Sinosing Services Pte. Ltd.	40155
485	Sinosing Services Pte. Ltd.	40156
486	Skyland Mining (BVI) Limited	5228
487	Skysea International Capital Management Limited	4529
488	SmarTone Finance Limited	5917
489	Societe Generale	5947
490	Societe Generale	5959
491	Societe Generale	5960
492	Societe Generale	5961
493	Societe Generale	5962
494	SPIC 2016 US dollar Bond Company Limited	5324
495	SPIC 2016 US dollar Bond Company Limited	5325
496	SPIC 2018 USD Senior Perpetual Bond Company Limited	5453
497	SPIC Luxembourg Latin America Renewable Energy Investment Company S.à r.l.	5193
498	SPIC Luxembourg Latin America Renewable Energy Investment Company S.à r.l.	5194
499	State Grid Overseas Investment (2013) Limited	5954
500	State Grid Overseas Investment (2013) Limited	5955
501	State Grid Overseas Investment (2014) Limited	5742
502	State Grid Overseas Investment (2014) Limited	5743
503	State Grid Overseas Investment (2016) Limited	5649
504	State Grid Overseas Investment (2016) Limited	5650
505	State Grid Overseas Investment (2016) Limited	5651
506	State Grid Overseas Investment (2016) Limited	5652
507	Strategic International Group Limited	5241
508	Sunny Express Enterprises Corp.	5906
509	Sunny Express Enterprises Corp.	40030
510	Sunny Express Enterprises Corp.	40031
511	Sunshine Life Insurance Corporation Limited	5628
512	Sunshine Life Insurance Corporation Limited	5629
513	Suqian Economic Development (BVI) Co., Limited	40034
514	Suzhou City Construction Investment Group (Holding) Co., Ltd.	5723
515	Taikang Insurance Group Inc.	5349
516	Taiyuan State-owned Investment Group Company Limited	6005
517	Taizhou Infrastructure Construction Investment Group Co., Ltd	5197
518	The Hongkong and Shanghai Banking Corporation Limited	4323
519	Tianfeng Securities Co., Ltd.	40090

520	Tianjin Lingang Investment Holding Co., Ltd.	5716
521	Tianjin Real Estate Group Co., Ltd	5286
522	Tianjin State-owned Capital Investment and Management Co., Ltd.	40103
523	Tianjin State-owned Capital Investment and Management Co., Ltd.	40104
524	Tianjin State-owned Capital Investment and Management Co., Ltd.	40105
525	Tianjin State-owned Capital Investment and Management Co., Ltd.	40106
526	Tianqi Finco Co., Ltd	5036
527	Tibet Financial Leasing Co., Ltd.	5707
528	Tongfang Aqua 2017 Limited	4454
529	Tongfang Aqua Limited	6001
530	Tongling Development Investment Group Company Limited	40153
531	Top Luxury Investment Limited	5598
532	Top Wise Excellence Enterprise Co., Ltd.	5402
533	Towngas (Finance) Limited	5749
534	Towngas (Finance) Limited	6018
535	Trade Horizon Global Limited	5133
536	Treasure Generator Limited	5709
537	Triceratops Capital Co., Limited	4408
538	Tsinghua Unic Limited	4413
539	Tsinghua Unic Limited	4414
540	Tsinghua Unic Limited	4415
541	Tuspark Forward Ltd.	4599
542	Tuspark Forward Ltd.	5143
543	Tuspark Forward Ltd.	5857
544	TVB Finance Limited	4577
545	UHI Capital Ltd.	4572
546	Unigroup International Holdings Ltd.	5597
547	Union Life Insurance Co., Ltd.	4322
548	Urumqi Gaoxin Investment and Development Group Co., Ltd.	5073
549	Vertex Capital Investment Limited	5931
550	Viva Incubator Investment Management Limited	40144
551	Wakala Global Sukuk Berhad	4519
552	Wanda Group Overseas Limited	5599
553	Wanda Properties International Co. Limited	6023
554	Wanda Properties Overseas Limited	40088
555	Wanda Properties Overseas Limited	40139
556	Want Want China Finance Limited	5446
557	Weifang Urban Construction and Development Investment Group Co., Ltd.	5189
558	Wuhan Financial Holdings (Group) Co., Ltd.	5498
559	Wuhan Metro Group Co., Ltd.	5467
560	Wuhan Real Estate Development & Investment Group Co., Ltd.	5139
561	Wuhan State-owned Assets Management Limited Company	5070
562	Xi Hai An 2019 Limited	40067
563	Xi Yang Overseas Limited	4565
564	Xi'an Qujiang Culture Holdings Co., Ltd.	40002
565	Xiangyu Investment (BVI) Co., Ltd.	5572
566	Xihui Haiwai I Investment Holdings Co., Limited	5990
567	Xin Fu (BVI) Co., Limited	5985
568	Xingang International Holding Limited	40099
569	Xingsheng (BVI) Company Limited	5172
570	Xingsheng (BVI) Company Limited	5606
571	Xinhu (BVI) 2018 Holding Company Limited	4569
572	Xinhu (BVI) 2018 Holding Company Limited	5497
573	Xinhu (BVI) 2018 Holding Company Limited	5889
574	Xinhu (BVI) Holding Company Limited	5382
575	Xinjiang Financial Investment Co., Ltd.	5907
576	Xinjiang Transportation Construction Investment Holding Co. Ltd.	5570
577	Xinjiang Transportation Construction Investment Holding Co. Ltd.	5952
578	Xinjiang Zhongtai (Group) Co., Ltd.	4567
579	Xinxing Industry Investment Company Limited	5065
580	Yancoal International Resources Development Co., Limited	4552
581	Yancoal International Resources Development Co., Limited	5424
582	Yancoal International Resources Development Co., Ltd	5461
583	Yancoal International Trading Co., Limited	5753
584	Yango (Cayman) Investment Limited	4470
585	Yango (Cayman) Investment Limited	5414
586	Yangzhou Economic and Technological Development Zone Development Corporation	5513
587	Yangzhou Urban Construction State-Owned Assets Holding (Group) Co., Ltd.	5072
588	Yankuang Group (Cayman) Limited	5044
589	Yankuang Group (Cayman) Limited	5705
590	Yichang High-Tech Investment Development Co., Ltd.	5495
591	Yichun Development Investment HaiTong Co., Limited	40084
592	Yihua Overseas Investment Ltd	5293
593	Yinchuan Tonglian Capital Investment Operation Co., Ltd.	5247
594	YONGDA Investment Limited	5543
595	Yunnan Energy Investment Overseas Finance Company Ltd.	5012
596	Yunnan Energy Investment Overseas Finance Company Ltd.	5013
597	Yunnan Energy Investment Overseas Finance Company Ltd.	5327
598	Yunnan Energy Investment Overseas Finance Company Ltd.	5457
599	Yuyao Economic Development Zone Construction Investment and Development Co., Ltd	5494
600	Yuyao Economic Development Zone Construction Investment and Development Co., Ltd	5998
601	ZGC INTERNATIONAL INVESTMENT LIMITED	40140
602	Zhangzhou Jiulongjiang Group Co., Ltd.	5730
603	Zhangzhou Transportation Development Group Co., Ltd.	5584
604	Zhaobing Investment (BVI) Limited	6018
605	Zhaohai Investment (BVI) Limited	5547
606	Zhaojin Mining International Finance Limited	5851
607	Zhejiang Baron (BVI) Company Limited	5146
608	Zhengzhou Metro Group Co., Ltd.	5496

609	Zhengzhou Real Estate Group Co., Ltd.	40014
610	Zhengzhou Urban Construction Investment Group Co., Ltd.	40063
611	Zhengzhou Urban Construction Investment Group Co., Ltd.	40118
612	Zhenjiang Cultural Tourism Industry Group Co., Ltd.	40072
613	Zhongtai International Bond 2017 (BVI) Company Limited	5263
614	Zhongtai International Finance (BVI) Company Limited	5919
615	Zhongyuan Asset Management Co., Ltd.	40078
616	Zhongyuan Sincere Investment Co. Ltd.	5095
617	Zhongyuan Sincere Investment Co. Ltd.	5596
618	Zhoushan City Investment Group Corporation Limited	5487
619	Zhuhai Da Heng Qin Investment Co., Ltd.	40080
620	Zhuhai Da Heng Qin Investment Co., Ltd.	85915
621	Zhuji Development Limited	5480
622	Zhuzhou City Construction Development Group Co., Ltd.	4531
623	Zijin International Capital Company Limited	5188

第3類豁免：適用於股份在香港聯合交易所有限公司上市的以法團形式運作的開放式集體投資計劃

名稱	聯交所 股份代號	
1	Xtrackers FTSE Vietnam Swap UCITS ETF*	3087
2	Xtrackers FTSE China 50 UCITS ETF	3007
3	Xtrackers MSCI Brazil UCITS ETF	3048
4	Xtrackers MSCI Korea UCITS ETF	2848
5	Xtrackers MSCI Pacific ex Japan UCITS ETF	3043
6	Xtrackers MSCI Russia Capped Swap UCITS ETF*	3027
7	Xtrackers MSCI Taiwan UCITS ETF	3036
8	Xtrackers MSCI USA Swap UCITS ETF*	3020
9	Xtrackers MSCI World Swap UCITS ETF*	3019
10	Xtrackers Nifty 50 Swap UCITS ETF*	3015
11	Xtrackers CSI300 Swap UCITS ETF*	3049
12	Xtrackers MSCI China UCITS ETF	3055
13	Xtrackers MSCI Indonesia Swap UCITS ETF*	3099
14	Xtrackers MSCI Malaysia UCITS ETF	3082
15	Xtrackers MSCI Thailand UCITS ETF	3092
16	Xtrackers MSCI Philippines UCITS ETF	3016
17	Xtrackers MSCI Singapore UCITS ETF	3065
18	Global X China Consumer Brand ETF	2806
19	Global X China Electric Vehicle ETF	2845
20	Global X China Clean Energy ETF	2809

* 此基金為一隻合成交易所買賣基金

第4類豁免：適用於聯交所的交易所參與者

名稱	
1	中國創盈市場服務有限公司
2	中國投資信息有限公司

第5類豁免：適用於屬結算參與者的結算所

名稱	
1	中國證券登記結算有限責任公司

根據《證券及期貨條例》(第571章)第309(2)條批給的其他豁免

名稱	
1	Budweiser Brewing Company APAC Limited